

February 1, 2011

William W. Destler, Ph.D.  
President  
Rochester Institute of Technology  
One Lomb Memorial Drive  
Rochester, NY 14623

**RE: Oversight Committee for Externally Sponsored Projects Annual Report FY10**

Dear President Destler:

The 2010-2011 Oversight Committee is pleased to present our FY10 Annual Report. In accordance with the RIT Oversight Policy for Externally Sponsored Projects, this report is provided for the Board of Trustees, Academic Senate, Staff Council, and Institute Council and will become part of the public record of the Committee, which is available through the RIT Digital Media Library (DML).

From July 1, 2009, to June 30, 2010, the Committee reviewed 545 Initial and Revised Notices of Award (NOAs) submitted by Principal Investigators (PIs) to the Research Administration Portal and Information Database (RAPID) available here: <http://apps.rit.edu/research/srs/rapid/>.

The last fiscal year saw the continued refinement of procedures for Enterprise Activity on campus. The Committee has defined Enterprise Activity as a set of externally sponsored projects that are similar enough that a single scope of work or job description applies accurately to the whole set. Usually the work involves routine analysis, evaluation, or non-credit training. In addition, the number of such projects is sufficiently great that it becomes much more efficient to prepare a single scope of work or job description than a series of separate NOAs. Changes to the procedures now allow PIs to apply for acknowledgement of new Enterprise Activity, and more timely reporting of their activity to Sponsored Research Services (SRS). These arrangements illustrate the Committee's commitment to the transparency and openness of the Oversight Policy, while being sensitive to the administrative burdens placed on faculty and staff.

The growth of research activity at RIT has created new labs and research centers as well as an increase in the number of RIT personnel participating in the research enterprise. The implementation of more electronic systems on campus, including the RAPID system, has provided the Committee with the flexibility to adapt their procedures to changes in the research environment.

Under the Oversight Policy, the Committee reviews special cases involving any of the following:

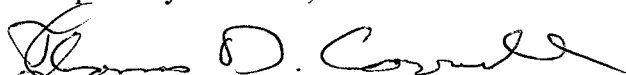
- (a) a request from the sponsor for a limited level of disclosure of pertinent details,
- (b) a delay of publication extending beyond 90 days, or
- (c) a project that create or make use of classified information.

During FY10 year, The Committee conducted reviews of five special cases involving publication restrictions and provided recommendations to the Provost.


Finally, we thank the Principal Investigators, who continue to adopt the spirit of the Oversight Policy and to accept the process of disclosure as part of managing externally funded grants and contracts.

Following acceptance of this report, we will add it and the FY10 listing of Notices of Award to the other Committee documents on file for public review. Please feel free to contact us with any concerns, questions, or comments that you may have with respect to our Committee and this report.

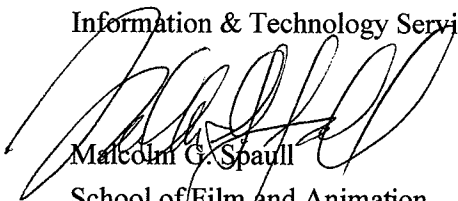
Respectfully Submitted,



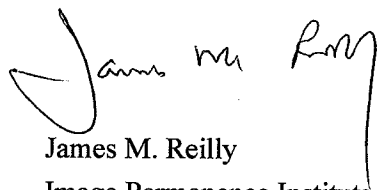
Thomas D. Cornell  
Department of Science, Technology & Society/Public Policy and Department of History  
College of Liberal Arts  
Chair, Oversight Committee for Externally Sponsored Projects 2009-10



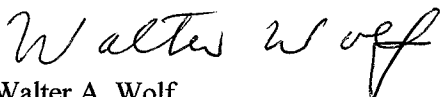
Cheryl Lomedico  
Information & Technology Services (ITS)



Malcolm G. Spaul  
School of Film and Animation  
College of Imaging Arts & Sciences



James M. Reilly  
Image Permanence Institute  
College of Imaging Arts & Sciences



Walter A. Wolf  
Computer Science Department  
B. Thomas Golisano College of Computing and Information Sciences

In absentia: Ian Gatley, Diana Forero

cc: Jeremy Haefner – Provost and Vice President  
Donald Boyd – Vice President for Research  
Becky Kiely – Chair, Staff Council  
L. Paul Rosenberg – Chair, Academic Senate  
David Bond – Director, Sponsored Research Services