2 October 21, 2016 phl November 3, 2016 FAC 4 7 December 2016 - Policy Group **26 October 2017 – FAC** 5 November 2, 2017 - FAC 6 7 8 Policy Number: E20.0 9 10 Policy Name: Policy on the Impact on Faculty in the Discontinuance or, Reduction or Transfer of Academic Programs 11 12 13 Scope: Faculty who are on multiple-year contracts in Aall academic programs 14 15 I. Given accurate and appropriate information, it is to be assumed that departments and colleges 16 will reallocate resources, reduce programs, and, in serious cases, end them with order and care. 17 Good management by departments and colleges based on good information should prevent invoking the extraordinary condition of financial exigency by the board of trustees. 18 11. Program Review 19 20 Management of educational programs depends on regular and consistent information being available for department and college decision making. Such information should consist of both 21 quantitative and qualitative indicators. These indicators by themselves don't make decisions. 22 23 They provide a basis on which college faculty and administration can make decisions. 24 There should be an ongoing system by which all departments and colleges review indicators on 25 their various programs: 26 • enrollment 27 completion/attrition 28 placement student/faculty ratio and/or FTEs 29 direct and indirect costs 30 consultation from appropriate advisory boards 31 Indicators such as these will be available in writing annually to all affected faculty and 32 33 administrators, departments and colleges for budgeting, planning, staffing, and resource 34 Academic programs also will be subject to appropriate qualitative reviews based on the 35 qualitative criteria used for the introduction of new programs and/or those of accrediting 36 37 agencies. Given regular quantitative data and quality reviews, faculty, their departments, and colleges will be expected to allocate resources and, when deemed appropriate, reduce or 38 discontinue programs. 39 Of prime importance will be the need for consultation and communication among the dean, 40 program administrator, faculty and staff. Notification of all affected parties will occur before 41 42 actions are taken to allow sufficient time to address problems and to minimize any negative 43 impacts of such a decision. The consultation and notification are to be documented by a memorandum of understanding, written by the chair(s) of the relevant department(s) made 44 45 available to all members of the department, and copied to the dean of the relevant department.

April 10, 2016 kwr

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2H. Program Discountenance or Reduction

 $\label{lem:commented [PL1]: To be moved to D01.0 as per charge and in concert with ICC.}$

Aa. Program Discontinuance

II. 4III. Termination of a Tenured or Pre-tenured Faculty Member or a Senior or Principal Lecturer

A. Tenured Faculty Member

In the event that it becomes necessary to terminate a tenured faculty member because of the reduction or discontinuation of a program (see D01.0 Policies for Curriculum Development) the following procedures shall apply.÷

1. The university provost will issue shall give notice by electronic and certified mail on the same day to tenured faculty members at the earliest possible date of the intention to terminate their appointments because of program discontinuance or reduction. From the date of notification by the provost of the intention to terminate ion by the provost, the tenured faculty member who is to be terminated shall will continue to be employed for at least one year. Upon termination, the terminated tenured faculty member shall receive equivalent salary and benefits for twelve (12) months. (or receive equivalent salary and benefits) for twenty four months. Their period after notification to terminate but before termination may be extended by the provost in the interest of serving the university.

tive.

- 3. The appointment of a A tenured faculty member shall not be terminated in favor of retaining a non-tenured faculty member except in the case where the termination of the non-tenured faculty member would seriously distort have a significant, negative impact on the college's academic mission. The recommendation on termination in such cases should shall be made by the dean to the provost in consultation with appropriate the full-time faculty of the program undergoing discontinuance or reduction and the including the college tenure committee.
- 4. In all cases of termination due to program discontinuance or reduction, the position or similar position of a tenured faculty member shawill not be filled by full- or equivalent part-time appointments within three years, unless the released terminated tenured faculty member has been offered reinstatement at the same position title, tenure status, and at least the same salary as at the time of termination and given at least one month in which to decide to accept or decline consider the offer. In the event a part-time appointment to teach the same or similar courses arises within one year of termination, the part-time appointment must be first offered to the terminated tenured faculty member at a salary level commensurate with their pre-termination status based on credit-hours taught.

Commented [JP5]: I'm assuming we're not saying full-time pay for part-time work ...

- 2. A tenured faculty member who alleges that the policies and procedures set forth above (4,a-d) have not been properly applied may seek review of the alleged violation through the grievance procedure (E24.0 Faculty Grievance).
- 5B. Termination of a Tenure-Track Pre-tenured Faculty Member

In the event that it becomes necessary to terminate a tenure-track-pre-tenured tenure-track faculty member because of the reduction or discontinuation of a program the following procedures shall apply.

- 1.—The provost shall give notice by electronic and certified mail on the same day The university provost will issue notice to a tenure-track pre-tenured faculty member of the intention to terminate an appointment because of a program discontinuance or reduction according to the schedules for non-reappointment. (E5.0 Policies on Tenure).
- 2. The university will make every reasonable effort to offer the terminated tenure-track pre-tenured faculty members with one or more years of service alternative employment for the faculty members based on current open faculty or staff positions for which they are qualified a manner consistent with their professional background and institutional needs. If placement would be facilitated by a reasonable period of professional development, not to exceed one year, financial and other support for such professional development as deemed appropriate by the hiring supervisor shall be offered. Alternative solutions such as retirement, retirement transition, or reduced appointment may be offered.
 - If placement would be facilitated by a reasonable period of training, not to exceed the periods specified in 5c below in II.B.4 below, financial and other support for such training will be offered at least on the same basis as a leave for professional development (E18.0). Early retirement (if applicable) and/or reduced appointment may in some cases be a suitable alternative.
 - a. less than one year's service: four months' notice (or equivalent salary and fringe benefits) or the duration of the contractappointment period, whichever is greater.
 - **a.b.** more than one year but less than two years: seven months' notice (or equivalent salary and fringe benefits) or the duration of the **contractappointment period**, whichever is greater.
 - c. two or more years: annual <u>contract appointment period</u> (or equivalent salary and fringe benefits.)
- 4. A tenure trackpre-tenured or faculty member who alleges that the policies and procedures set forth above (5a d) have not been properly applied may seek review of the alleged violations through the grievance procedure (E24.0 Faculty Grievance).
- C. IVIII. Termination of a Senior or Principal Lecturer

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In the event that it becomes necessary to terminate a senior or principal lecturer because of the reduction or discontinuation of a program the following procedures will apply.

- 1. The provost shall give notice by electronic and certified mail on the same day to a senior or principal lecturer of the intention to terminate an appointment because of a program discontinuance or reduction according to the schedules for nonreappointment (E04.0 – Faculty Employment Policies or E06.0 – Policies on Faculty Rank and Promotion).-
- 2. The university will make every reasonable effort to offer the terminated senior or principal lecturer alternative employment in a manner consistent with the terminated senior or principal lecturer's professional background and university needs. If placement would be facilitated by a reasonable period of professional development, not to exceed one year, financial and other support for such professional development as deemed appropriate by the hiring supervisor shall be offered. Alternative solutions such as retirement, retirement transition, or reduced appointment may be offered.
- 3. If the normal schedule for non-reappointment cannot be followed for a senior or principal lecturer, termination shall be according to the following schedule from the date of notification:
 - a. Senior lecturers on a 3-year contract: an employment contract for four months (one semester) (or equivalent salary and fringe benefits).
 - b. Principal lecturers on a 5-year contract: an employment contract for one academic year period (or equivalent salary and fringe benefits).
- 4. A senior or principal lecturer who alleges that the policies and procedures set forth above (IV.C) have not been properly applied may seek review of the alleged violations through the grievance procedure (E24.0 – Faculty Grievance).

Responsible Party: Office of the Provost and Senior Vice President for Academic Affairs and the Academic Senate. Inquiries may be directed to:

Office of the Academic Senate academicsenate@rit.edu

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Approved May 10, 2010

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